## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*<br>WIERENGA WENDELL |         |  |   | Name <b>and</b> Ticker c<br>ata Therapeut   | 0,               |                  | (Check   | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)<br>X Director 10% Owner |   |           |  |
|--|---------|--|---|---|------------------|------------------|--|--|---|-----------|--|
| (Last)   | (First) | (Middle)                                   | 3. Date o<br>10/06/2  | f Earliest Transactio<br>022  | on (Month/Day/   | Year)            |  | Officer (give title below)   |   | specify   |  |
| 3525 DEL MAR HEIGHTS RD., #322                               |         |  |   | ndment, Date of Or  | iginal Filed (Mo | onth/Day/Year)   |  | 6. Individual or Joint/Group Filing (Check Applicable Line)  |   |           |  |
| (Street)   |         |  |   |   |                  |                  | X  | Form filed by One I<br>Form filed by More  |   | ng Person |  |
| SAN DIEGO  | CA      | 92130                                      |   |   |                  |                  |  |  |   | -         |  |
| (City)   | (State) | (Zip)                                      |   |   |                  |                  |  |  |   |           |  |
|  |         | Table I - No                               | n-Derivative S  | ecurities Acq   | uired, Disp      | osed of, or Bene | eficially Ow   | ned  |   |           |  |
| Date   |         | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3. 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 ar Context)   8) (A) or Context |                  | . 3, 4 and 5)    | 5. Amount of<br>Securities<br>Beneficially Owned<br>Following Reported<br>Transaction(s) | 6. Ownership<br>Form: Direct (D)<br>or Indirect (I)<br>(Instr. 4)                                  | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |           |  |

|              |               | Code | v | Amount | (A) or<br>(D) | Price             | (Instr. 3 and 4) |   |  |
|--------------|---------------|------|---|--------|---------------|-------------------|------------------|---|--|
| Common Stock | 10/06/2022(1) | Α    |   | 20,654 | A             | \$ <mark>0</mark> | 62,398           | D |  |
|              |               |      |   |        |               |                   |                  |   |  |

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

|  |   |  |   |                                 |   |   | ,                                  | • •                           |                    |       | '   |  |  |                                  |                                       |
|--|---|--|---|---------------------------------|---|---|------------------------------------|-------------------------------|--------------------|-------|---|--|--|----------------------------------|---------------------------------------|
| 1. Title of<br>Derivative<br>Security (Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transac<br>Code (Ir<br>8) |   | 5. Num<br>Derivat<br>Securit<br>Acquin<br>or Disp<br>(D) (Ins<br>and 5) | tive<br>ties<br>ed (A)<br>bosed of | Expiration Da<br>(Month/Day/) |                    |       | s Underlying Derivative<br>security Security<br>and 4) (Instr. 5) |  | derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | Ownership<br>Form:<br>Direct (D) | Beneficial<br>Ownership<br>(Instr. 4) |
|  |   |  |   | Code                            | v | (A)   | (D)                                | Date<br>Exercisable           | Expiration<br>Date | Title | Amount<br>or<br>Number<br>of Shares                               |  | Transaction(s)<br>(Instr. 4)   |                                  |                                       |

Explanation of Responses:

1. On October 6, 2022, the Reporting Person was granted 20,654 Common Stock restricted units pursuant to the Issuer's 2021 Omnibus Equity Incentive Plan.

/s/ Gerald T. Proehl, Attorney-in-<u>Fact</u> <u>10/07/2022</u>

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.